

Appendix 1

PEP – information about a politically exposed person

If you answered "yes" to the question regarding PEP in the subscription form, please answer the questions below (please note that the questions below must be answered for the subscription form to be valid).

1. Do you hold or have you in the last 18 months held, one of the following prominent public positions?

- ☐ Head of State
- ☐ Head of Government
- ☐ Minister
- ☐ Deputy or Assistant Minister
- ☐ Member of Parliament (Riksdagen) or the European Parliament
- ☐ Member of the governing body of a political party represented in the Parliament (Riksdagen) or the European Parliament
- ☐ Member of a Supreme Court (Högsta domstolen), Constitutional Court (Högsta förvaltningsdomstolen) or other high-level judicial body, the decisions of which are not subject to further appeal, except in exceptional circumstances
- ☐ Member of a Court of Auditors (revisionsmyndighet or riksrevisorer)
- ☐ Member of the Board of a Central Bank (Riksbankens direktion)
- ☐ Ambassador, Deputy Ambassador, Chargés d'affaires
- ☐ Member of the administrative, management or supervisory body of a State-owned enterprise (i.e. ownership of 50 % or more)
- ☐ High-ranking officer in the armed forces, (General, Lieutenant General, Major General, Admiral Vice Admiral or Rear Admiral)
- ☐ Director, Deputy Director, General Secretary, and Member of the Board or equivalent function of an international organisation (i.e. organisations established through formal political agreements between States that have the status as international treaties)

2. Are you a close family member¹ or close associate² of a person who holds, or in the last 18 months held, one of the following prominent public positions?

- ☐ Yes
- ☐ No

3. Where does the capital that you are going to invest come from?

- ☐ Inheritance/gift
- ☐ Savings
- ☐ Pension
- ☐ Sale of property
- ☐ Return on investments
- ☐ Insurance
- ☐ Salary or other income
- ☐ Other, please specify: _____

Name

City, date and signature

¹ Close family members of a politically exposed person include: spouse, civil partner, cohabitant or equivalent, children and their spouses, civil partners, cohabitants or equivalent, as well as parents.

² Close associates of a politically exposed person include: (i) natural persons who have joint beneficial ownership of a legal entity or legal arrangement with a politically exposed person; (ii) natural persons who have sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the de facto benefit of a politically exposed person; (iii) natural persons who are able to conduct transactions on behalf of a politically exposed person e.g. mandate holder or nominee with authorisation to conduct transactions; (iiii) natural persons who in other ways, than those mentioned above, have a close business relationship with one or more politically exposed person.